



## Contacts

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## Ultimus Selected by Caldwell & Orkin to Provide Mutual Fund Services

*Firm Leverages Ultimus' Expertise for Conversion*

**CINCINNATI, OHIO – December 6, 2016-** [Ultimus Fund Solutions®, LLC](#) (Ultimus®) recently announced that it has been chosen to provide a full range of mutual fund services for the Caldwell & Orkin Funds. [Caldwell & Orkin, Inc.](#) and its affiliates (Caldwell & Orkin), a Norcross, GA based firm with nearly \$215 million dollars in assets under management, chose to entrust Ultimus to deliver quality mutual fund services during this conversion period and thereafter for the firm's one fund, the Caldwell & Orkin Market Opportunity Fund (Fund). The Fund, an alternative long/short equity fund, represents another high quality win for the Ultimus team.

"We continue to support boutique investment managers and small businesses, particularly firms and funds such as Caldwell & Orkin, through our full service mutual fund service offering. Firms of this size find value in our high touch services and in personal relationships," said [Bob Dorsey](#), CEO and Managing Director at Ultimus. "We are delighted to partner with Caldwell & Orkin, guiding them through this conversion, and are eager to develop a mutually rewarding, long term relationship."

Caldwell & Orkin, founded in 1989, is an investment boutique focused on long-short equity investing. With more than 20 years of experience, Caldwell & Orkin offers a unique approach to achieving their Fund's objective. Converting from another service provider, the firm chose Ultimus to provide a full range of mutual fund services, including fund administration, fund accounting, transfer agency services, and distribution, plus IRA custody.

"We selected Ultimus based on their understanding of our situation, their outstanding service reputation, and commitment to provide a smooth transition" commented David Bockel, Portfolio Manager at Caldwell & Orkin. "With the conversion now complete, we have been impressed with the quality of the transition services and their overall project management of assuming all services from our former provider. We look forward to solidifying this partnership in the ensuing months and for years to come."

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## About Ultimus Fund Solutions

Ultimus Fund Solutions provides highly customized and comprehensive middle and back office services to midsize and small investment advisors. Ultimus offers service solutions for mutual funds, including fund organization, fund administration, fund accounting, transfer agent and

distribution services for both open- and closed-end funds, plus turnkey solutions for collective investment trusts.

Ultimus' middle office service solution for separately managed accounts and private funds encompasses managing the technology infrastructure and operations required to manufacture the data needed for front office decision support platforms, enabling delivery of detailed reporting to advisors, investors, and regulators. Ultimus' comprehensive services are performed by teams of accountants, attorneys, paralegals and other professionals with a wealth of financial services experience. For more information, visit [www.ultimusfundsolutions.com](http://www.ultimusfundsolutions.com).