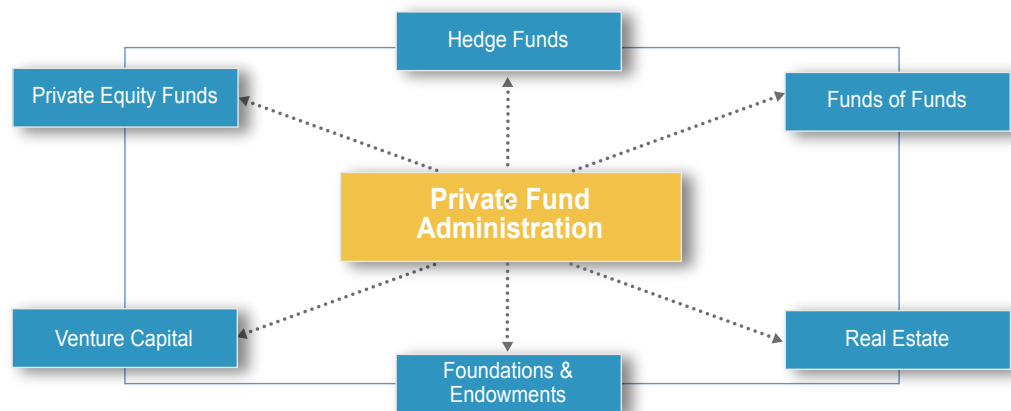


■ | PRIVATE FUND ADMINISTRATION SERVICES

Ultimus provides award-winning, tailored fund administration services to fund managers, institutions, endowments, foundations, and other investment entities. We offer full-service capabilities comparable to the largest administrators, but treat our clients with the detailed attention and high-quality service levels as if we were an extension of their business.

By incorporating strong processes for accuracy and technical competence, we deliver customized solutions for each client. This is accomplished by combining a process-driven approach with exceptional people and state of the art technology. As a result, we consistently deliver quality work on a timely basis.

PRIVATE FUND ADMINISTRATION



Solutions

Ultimus provides customized accounting and administrative solutions to managers of various types of private investment funds. Our experienced professionals are particularly adept at handling complex fund structures, complicated waterfall or incentive fee provisions and other complexities that may be too challenging for some administrators.

Fund Administration – provide fund managers with a comprehensive suite of services to increase efficiency and introduce best practices, while reducing operating costs. Our team of experienced professionals provides customized solutions along with an industry-leading accounting system to systematically create detailed fund and investor performance reports.

Financial Reporting – prepare the initial draft of the audited financial statements, the financial highlights and other footnote disclosures with extensive financial reporting capabilities and experience with preparing annual reports for both public and private funds.

Shadow Administration – perform or validate fund administration data to make sure it is complete and accurate including trade information, portfolio management, accounting, compliance and risk management.

Services

Ultimus provides comprehensive fund administration to alleviate the burden of day to day administrative functions with gold-standard services and a focus on timeliness and accuracy.

COMPREHENSIVE FUND ADMINISTRATION PRIVATE FUND ADMINISTRATION SERVICES



Fund Accounting – provide fund accounting and performance reporting as well as investment and investor accounting for a wide variety of fund types. All accounting work is reviewed internally using an electronic work paper system and fund specific checklists to ensure accuracy.



Investor Services – provide a comprehensive suite of services including processing calls & distributions or subscriptions & redemptions, anti-money laundering compliance, distribution of investor reports, access to investor statements via a web-based Portal, and monitoring of ERISA percentages.



Portfolio Accounting – with experience in a wide variety of financial instruments, we can provide investment accounting, trade capture and reconciliation, portfolio valuation, corporate action processing and performance reporting.



Treasury Services – perform all banking and funds transfer activities of a fund while allowing for final manager approval for all disbursements. Any movement of money is processed in an environment of robust financial controls to protect the integrity of fund assets.



Audit & Tax Management – assist with fund audits and tax returns. We work closely with your audit firm and tax preparer to provide the information and data needed.

At Ultimus, we understand that each investment manager has unique business circumstances. Therefore, we provide customized solutions to meet your specific business needs and fund strategy requirements.

Please contact us today.

Ask for Andy Jones 847.385.2222

www.ultimusfundsolutions.com